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APR U 3 2006

	TO: COMMISSIONER FOR PATENTS, U.S. PATENT & TRADEMARK OFFICE FAX NO: (571) 273-8300 (GENERAL/MAIN FAX LINE) NO. OF PAGES: Cover + 4					
CERTIFICATE OF FACSIMILE TRANSMISSION I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.		APPLICATION NO.	10/004,100			
		FILING DATE	10/31/2001			
		FIRST NAMED INVENTOR	Thomas D. Hanan			
<del></del>	M. Franks nted Name	ART UNIT	2186			
Signature	um Duns	CONFIRMATION NO.	9371			
April 3,		EXAMINER	Matthew D. Anderson			
Date		ATTORNEY DOCKET NO.	K35A0989			
TITLE	DISK DRIVE AND METHOD FOR USING A MAILBOX FILE ASSOCIATED WITH A DISK STORAGE MEDIUM FOR PERFORMING A FUNCTION CHARACTERIZED BY CONTENTS OF THE MAILBOX FILE					

### ATTACHED WITH THIS SUBMISSION:

- 1. Transmittal Form (1 page)
- 2. Submission of Information Disclosure Statement under 37 CFR 1.56, 37 CFR 1.97(c), and 37 CFR 1.98 (2 pages)
- 3. Information Disclosure Statement / Form PTO/SB/08A (1 page)

PLEASE CONFIRM RECEIPT OF THIS TRANSMISSION. IF THERE IS ANY PROBLEM WITH THIS TRANSMISSION, PLEASE CALL RENEE M. FRANKS AT (949) 672-7871.

#### CONFIDENTIALITY NOTE

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Under the Par	porwork Re	oduction Act of 1995	no oerson	Application Number		10/004.		it displays a valid OMB control number.	
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				Examiner Name			v D. Ander	APR U 3 2006	
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form antifor suggestions for reducing this burdon, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND PEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031

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	Substitute for form 1449/PTO		Complete if Known				
			Application Number	10/004,100			
	INFORMATIO	N DISCLOSURE	Filing Date	10/31/2001			
			First Named Inventor	Thomas D. Hanan			
		BY APPLICANT	Art Unit	2186			
	(Use as many si	oots as necessary)	Examiner Name	Matthew D. Anderson			
_	Chant 1	at 1	Attorney Docket Number	K3E10080			

		•	U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cito No.1	Document Number  Number-Kind Cods <sup>2 p broad</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 5,559,960 A	09-24-1996	Lettvin, Jonathan D.	
	2	<sup>US-</sup> 6,966,002 B1	11-15-2005	Torrubia-Saez, Andres	
	3	<sup>US-</sup> 6,728,830 B1	04-27-2004	Assaf, Mahmoud	
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	FOREIGN PATENT DOCUMENTS									
Examiner tnitigis*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear					
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>8</sup> (if known)	MM-DD-YYYY			Tº				
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Examiner	**************************************	Date	
Signature		Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant, "Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.isolo.cov">www.isolo.cov</a> or MPEP 901.04. \*Enter Office that Issued the document, by the two-lotter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# APR U 3 2006

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Thomas D. Hanan

Art Unit:

2186

Serial No.:

10/004,100

Examiner:

Matthew D. Anderson

Confirmation No.: 9371

Filing Date:

10/31/2001

Docket No.:

K35A0989

For: DISK DRIVE AND METHOD FOR USING A MAILBOX FILE ASSOCIATED WITH A DISK STORAGE MEDIUM FOR PERFORMING A FUNCTION CHARACTERIZED BY CONTENTS OF THE MAILBOX FILE

## SUBMISSION OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 37 CFR §1.97(c) and 37 CFR §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Dear Sir:

In complying with the duty of disclosure set forth in 37 CFR §1.56, Applicants submit the enclosed Information Disclosure Statement. Applicants certify that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Applicants respectfully request that the Examiner review all of the references submitted herewith and enter each as a matter of record herein. Applicants further request that a signed and initialed copy of the form PTO/SB/08 be returned to Applicants' mailing address.

Y:\IP PROGRAM\_K35A FILES\A0900-A0999\A0989\PTO\A0989\_IDS Statement in lieu of Fee.doc

Page 1 of 2

Art Unit 2186 Serial No. 10/004,100 PATENT Attorney Docket No.: K35A0989

No fee is believed to be due. However, the Commissioner is hereby authorized to charge payment of any fees associated with this Communication or credit any overpayment to Deposit Account No. 23-1209.

Respectfully submitted,

Date: April 3, 2006

Jason T. Evans, Esq. Reg. No. 57,862

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